This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the	e items checked:
☐ BLACK BORDERS	
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES	
☐ FADED TEXT OR DRAWING	
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING	
☐ SKEWED/SLANTED IMAGES	
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS	
☐ GRAY SCALE DOCUMENTS	
☐ LINES OR MARKS ON ORIGINAL DOCUMENT	
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POO	OR QUALITY
OTHER:	3,000

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

MAY 0 9 2002 &

Substitute Form PTO-1479 (Modified)

U.S. Separtment of Commerce Resent and Trademark Office

Attorney's Docket No. 06975-113001

Application No. 09/848,232

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant
Barry Appelman et al.

Filing Date

May 4,2001

Group Art Linita
2152

2142

(37 CFR §1.98(b))

İ			U.S. Pate	ent Documents			
Examiner	Desig.	Patent		5			Filing Date
Initia	ID	Number	Issue Date	Patentee	Class	Subclass	If Appropriate
N-A-MO	AA	6,085,223	07/04/2000	Carino, Jr. et al.			
	AB	5,951,643	09/14/1999	Shelton et al.			CEIVE
	AC	5,951,652	09/14/1999	Ingrassia, Jr. et al.		. %	MAY 1 0 22
$I \setminus X \setminus I$	AD	5,954,798	09/21/1999	Shelton et al.		Iechno	2/001 5
	AE	5,742,905	04/21/1998	Pepe et al.			MAY 1 0 2002 Dogy Center 21
M	AF	5,793,365	08/11/1998	Tang et al.			
	AG	5,721,906	02/24/1998	Siefert			
, //	AH	5,991,791	11/23/1999	Siefert			
	AI	6,026,403	02/15/2000	Siefert			
	AJ	6,151,584	11/21/2000	Papierniak et al.			
	AK	6,014,638	01/11/2000	Burge et al.			
	AL	6,012,051	01/04/2000	Sammon, Jr. et al.			
X/I	AM	6,009,413	12/28/1999	Webber et al.			
// \	AN	5,920,692	07/06/1999	Nguyen et al.			
$V \setminus V$	AO	5,557,659	09/17/1996	Hyde-Thomson			
$\bowtie X$	AP	5,774,670	06/30/1998	Montulli			,
	AQ	5,878,219	03/02/1999	Vance, Jr. et al.			
	AR	6,026,429	02/15/2000	Jones et al.			
	AS	5,893,099	04/06/1999	Schreiber et al.			
	AT	6,166,730	12/26/2000	Goode et al.			
	AU	5,893,091	04/06/1999	Hunt et al.	·		
MY	AV	5,327,486	07/05/1994	Wolff et al.			
/ W/W	AW	5.557,659	09/17/1996	Hyde-Thomson			
r (180)	AX	5,920,692	07/06/1999	Nguyen et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
F	.		D. 1. 5 6		1		Trans	slation
Examiner	Desig.	Document	Publication	Country or	1			
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No

Examiner Signature

Date Considered

All of Above

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet	2	of	2

U.S. Department of Commerce Paters and Trademark Office Substitute Form PTO-1448 Attorney's Docket No. Application No. (Modified) 06975-113001 09/848,232 Applicant Information Disele are Statement Barry Appelman et al. by Applicant (Use several sheets if necessary) Filing Date Group Art Unit ~ May 4,2001 2152 (37 CFR §1.98(b))

							Trans	lation
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	1	No
ODA,	AY	WO 97/10558	03/20/1997	WIPO	G06F	17/30	1/2	C~.
(BN)	AZ	WO 97/46955	12/11/1997	WIPO	G06F	17/30	MAY	
WM	AAA	WO 98/16045	04/16/1998	WIPO	H04L	29/06 /PC/	70/001	1020
18%	ABB	WO 99/08434	02/18/1999	WIPO	H04M	7/00	91/V	<u>^</u> ĭ
	-		•	<u> </u>	<u> </u>	•		enter 2

	Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner	Desig.						
Initial	ID	Document					
Br	ACC	"AOL technology: turning complicated things into engaging services", 1996 Annual Report, 22 pages.					
VZM	ADD	"YAHOO! Messenger Makes the World a Little Smaller, More Informed", pp. 1-2, June 21, 1999.					
OBM	AEE	Alan Cohen, "Instant Messaging", 4/13/99, PC Magazine, PC Labs, 2 pages.					
8 A	AFF	"AOL Instant Messenger Windows Beta Features", 06/24/99, 2 pages, AOL Instant Messenger All New Version 2.0, 2 pages, 06/24/99, What is AOL Instant Messanger, 3 pages, 06/24/99, Quick Tips for Getting Started, 5 pages, 06/24/99, Frequently Asked Questions About AOL Instant Messenger, 6 pages, 06/24/99.					

Examiner Signature/

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with

next communication to applicant.

TP	Substitu (Modelie	te Form	n PTO-1449
	2 2004	Inf	ormation t
MAK	1	ōΙ	(Use sevi

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 06975-113001

Application No. 09/848,232

ion Disclosure Statement by Applicant several sheets if necessary)

Applicant Barry Appelman et al.

Filing Date

Group Art Unit

2152-214/ May 4, 2001

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
RBO	AA	2002/0065894	05/30/2002	Dalal et al.			
ngy	AB	5,533,110	07/02/1996	Pinard et al.			
RINI	AC	5,608,786	03/04/1997	Gordon			
agent	AD	5,850,594	12/15/1998	Cannon et al.			
OWI.	AE	5,870,744	02/09/1999	Sprague			
()QXVV	AF	5,872,521	02/16/1999	Lopatukin et al.		REC	EIVED
OBV.	AG	6,260,148	07/10/2001	Aggarwal et al.		MAR 2	3 2004
CTRIII -	AH	6,269,369	07/31/2001	Robertson			
(OH)	AI	6,301,609	10/09/2001	Aravamudan et al.		rechnology	Center 2100
(LOM)	AJ	6,389,127	05/14/2002	Vardi et al.			
Or CEN	AK	6,415,318	07/02/2002	Aggarwal et al.			(A) (A)
00810	AL	6,425,012	07/23/2002	Trovato et al.			
D8/#	AM	6,449,344	09/10/2002	Goldfinger et al.			
	AN						

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Translation	
Initial	[ID	Number	Date	Patent Office	Class	Subclass	Yes	No
(1)24\	AO	EPO862304A2	09/02/1998	EPO				
Bla	AP	EP1176840A1	01/30/2002	EPO				
	AQ							

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner	Desig.			
Initial	ID D	Document		
081/	AR	"What new about exchanging information over the Internet," Outlook 2000 SR-1 (9.0.0.4527) Help File, on or before August 10, 2001, page 1.		
TOTAL	AS	"About Internet directory services," Outlook 2000 SR-1 (9.0.0. 4527) Help File, or or before August 10, 2001, page 1.		

	Α		
Examiner Signature /	i\	Date Considered	/ /
	// / / /	' ' ' ' '	AIMI
	4511 M.		9 10 11
EXAMINER: Initials citation consid	ered. Draw line through cit:	ation if not in conformance and not o	considered. Induc
	5,55, D.G		70
next communication to applicant.			

de copy of this form with

Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office

Patent and Trademark Office

O6975-113001

Applicant

Barry Appelman et al.

Filing Date

May 4, 2001

Filing Date

May 4, 2001

Application No.

09/848,232

Applicant

Barry Appelman et al.

Filing Date

May 4, 2001

ECMIOLOGY CENTER 2100

(TRAVE	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
Initial	ID	Document "Set up LDAP directory services," Outlook 2000 SR-1 (i.0.0. 4527) Help File, on or before August
1000	AT	10, 2001, page 1.
SM	AU	"Look up contact information from an item," Outlook 2000 SR-1 (9.0.0. 4527) Help File, on or before August 10, 2001, page 1.
98L	AV	J.C. Cannon, "Design Guide for Directory-Enabled Applications," [online], April 2001 [retrieved on 2003-05-13]. Retrieved from the Internet http://msdn.microsoft.com/library/enus/dnactdir/html/ Building ADApps.asp?frame=true, pages 1-9.
DU	AW	Microsoft Corporation, "Using ADSI, LDAP, and Network Management Functions With Active Directory," [online], February 2002 [retrieved on 2003-05-13]. Retrieved from the Internet http://msdn.microsoft.com/library/enus/dnactdir/html/BuildingADApps.asp?frame=true , pages 1-9.
NSW.	AX	Microsoft Corporation, "Comparing Microsoft Active Directory to Novell's NDS," [online], September 1998 [retrieved on 2003-05-13]. Retrieved from the Internet http://msdn.microsoft.com/library/enus/dnactdir/html/msdn_activedirvsnds.asp?frame=true , pages 1-17.
ON	AY	Microsoft Corporation, "Active Directory Services Interface in the Microsoft Exchange 5.5 Environment," [online], November 1997 [retrieved on 2003-05-13]. Retrieved from the Internet http://msdn.microsoft.com/library/enus/dnactdir/html/msdn_adsiexch.asp?frame=true , pages 1-12.
(201)	AZ	"Active Directory Service Overview," [online], November 30, 2001 [retrieved on 2003-05-13]. Retrieved from the Internet http://www.microsoft.com/windows2000/server/evaluation/business/-addatasheet.asp , pages 105.
	AAA	"Integrating Microsoft Metadirectory Services and Active Directory," [online], August 31, 2000 [retrieved on 2003-05-13]. Retrieved from the Internet http://www.microsoft.com/windows2000/-server/evaluation/business/adwin2k.asp , page 1.
	ABB	"Benefits of Active Directory in a Windows 2000 Environment," [online], September 20, 2001 [retrieved on 2003-05-13]. Retrieved from the Internet http://www.microsoft.com/windows2000/-server/evaluation/business/adwin2k.asp , pages 1-9.
911	ACC	"Active Directory," [online], retrieved on 2003-05-13]. Retrieved from the Internet http://www.microsoft.com/windows2000/technologies/directory/AD/default.asp , pages 1-13.
	ADD	Microsoft Corporation, "Introduction to Active Directory Application Mode," Microsoft Windows Server 2003, August 2002, pages 1-13.
	AEE	"Active Directory Features," [online], June 15, 1999 [retrieved on 2003-05-13]. Retrieved from the Internet http://www.microsoft.com/windows2000/server/evaluation/features/adlist.asp, pages 1-4.
CASA	AFF	"Windows 2000 Directory Services," [online], [retrieved on 2003-05-13]. Retrieved from the Internet http://www.microsoft.com/windows2000/technologies/directory/default.asp, pages 1-2.
91	AGG	"Directory Integration Can Lower Total Cost of Ownership and Increase Application Functionality," [online], July 27, 1998 [retrieved on 2003-05-13]. Retrieved from the Internet http://www.microsoft.com/presspass/press/1998/Jul98/ActivDPR.asp , pages 1 of 4.
038	АНН	William R. Stanek, Microsoft Windows 2000 Administrator's Pocket Consultant [online]. Microsoft Corporation, 1999 [retrieved on 2003-05-08]. Retrieved from the Internet http://www.microsoftcom/technet/prodtechnot/ad/windows2000/evaluate/05w2kada.asp?fr , pages 1-6.
Alder	AII	"Enterprise Identity Management with Windows 2000 and Active Directory," [online], 1999 [retrieved on 2003-05-13]. Retrieved from the Internet ">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/w2keims.asp?fra>">http

EXAMINER: Initials citation considered. Draw time through citation if not in conformance and not considered. Unclude copy of this form with

next communication to applicant.

Substitute Disclosure Form (PTO-1449)

OIP	Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-113001	Application No. 09/848,232	
MAR	1 1 by Ann	ormation Disclosure Statement by Applicant (Use several sheets if necessary) b))	Applicant Barry Appelman et al.		
	(Use several shee		Filing Date May 4, 2001	Group Art Unit 2152 2/42	

Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner	Desig.					
Initial	ID	Document				
M	AJJ	William R. Stanek, Microsoft Windows 2000 Administrator's Pocket Consultant [online]. Microsoft Corporation, 1999 [retrieved on 2003-05-08]. Retrieved from the Internet http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/05w2kadb.asp?fra , pages 1-10.				
(DA)	AKK	"Integrating Applications with Windows 2000 and Active Directory," [online], October 2000 [retrieved on 2003-05-08]. Retrieved from the Internet http://www.microsoft.com/technet/prodtechnol/ad/windows2000/evaluate/adappstr.asp?fra , pages 1-12.				
	ALL	"Part I: Active Directory Operations," Active Directory Operations Guide, Microsoft Corporation, Microsoft Windows 2000, Version 1.5, pages 1-187.				
PON	AMM	"Part II: Tasks and Procedures Appendices," Active Directory Operations Guide, Microsoft Corporation, Microsoft Windows 2000, Version 1.5, pages 1-131.				
BLA	ANN	"The LP Wireless Messenger", Messenger Documentation, [online]. LP Wireless, Inc., 2001 [retrieved on 2002-11-02]. Retrieved from the Internet http://www.lpwireless.com/messengerhelp.htm , pp. 1-7.				

RECEIVED

MAR > 3 2004

Technology Center 2100

Examiner Signature

Date Considered

8/12/004

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with

next communication to applicant.

Substitute For (Modified)	m PTO-1449		partment of Commerce t and Trademark Office			Application No 09/848,232			
Information Disclosure Statement by Applicant				Applicant Barry Appelman	Applicant Barry Appelman et al.				
(37 CFP) 1.98	(Use several sheets if necessary) (37 CFR 1.98(b))				Filing Date May 4, 2001			42	
4 2003 ju							<i>y</i>	7	
3			U.S. Pater	nt Documents					
Examiner Initial	Desig.	Document	Publication					Date	
nitial	ID	Number	Date	Patentee	Class	Subclass	If Appr	opriate	
(100AL	AA	6,525,747		Bezos			- 8 4 5	· F-7	
WY	AB	2002/0015061		Maguire		RECE	IVE		
SAL	AC	2003/0043201	03/06/2003	Abdelhadi et al.		NOV 1	7 2003	}	
	AD		<u> </u>	\					
	AE					Technology	Center	2100	
	AF								
	AG			X					
	AH								
	AI								
	AJ			/					
			مزا لیا		<u> </u>	,			
	Foreig	n Patent Doc	uments or Pu	blished Foreign P	atent A	pplication	ıs		
Examiner	Desig.	Document	Publication	Country or		0.1.1.	Trans		
Initial	ID AV	Number	Date	Patent Office	Class	Subclass	Yes	No	
	AK			$\overline{}$		-			
	AL			$\overline{}$					
	AM			$\overline{}$					
	AN								
= .	AO								
	Other De	ocuments (in	clude Author	Title, Date, and P	laco of	F Dublicatio	201		
Examiner	Desig.	ocuments (m	cidde Adilloi,	Title, Date, and F	iace of	Fublication	JII)		
Initial	ID			Document					
VOAL	AP	Copy of Internation August 14, 2003		for International Applic	ation No.	PCT/US03/15	715, mai	led ———	
	AQ				····				
	AR			X					
	AS								
		A				_			
Examiner Sign	akore A	ball	- A-	Date Considered		1	_/_		

EXAMINER: Initials diation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

Substitute Form P 0,1449 (Modified)

S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 06975-113001

Application No. 09/848,232

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Barry Appelman et al.

Filing Date May 4, 2001

Applicant

Group Art Unit

(37 CFR §1.98(b))

			U.S. Pater	nt Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						CEIVEE
	AD						
	AE					JI	UL 3 0 2003
	AF			\times		Techno	logy Center 21
	AG					воотне	9)
	AH						
	AI						
	AJ					7	
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial ,	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
OB/	AL	02/073886	09/19/2002	PCT				
	AM							
	AN							
	AO							
	AP				<u> </u>			

1	Other Documents (include Author, Title, Date, and Place of Publication)						
ı	Examiner	Desig.					
-	Initial	ID	Document				
	60M	AQ	Eschenburg, Wo laufen sie denn?, October 26, 1998, pgs. 92-95.				
	BU	AR	Kohda et al., IMPP: A New Instant Messaging Standard and Its Impact on Internet Business, December 2000, Fujitsu Sci. Tech. J., 36, 2, pgs. 147-153.				
		AS					
		AT					

Examiner Signatur

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)